

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

**1. Date of Submission:** 2010-03-19 14:51:51

**2. Agency:** 015

**3. Bureau:** 00

**4. Name of this Investment:** Treasury-Wide Enterprise Content Management (ECM) Services

**5. Unique Project (Investment) Identifier:** 015-00-01-12-01-5203-00

**6. What kind of investment will this be in FY 2011?:** Full-Acquisition

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

**7. What was the first budget year this investment was submitted to OMB? \***

**8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

The ECM program will provide an enterprise solution that encompasses and addresses the common electronic document, records and workflow/case management requirements across the Department. ECM strategies to achieve that vision encompass the following: • Examination of technological alternatives that may span open source or commercial off-the-shelf (COTS) software, that may portend a single, unified platform or federated platform or some combination thereof, but that offer cost and performance incentives for integration or migration of bureaus' existing systems and that deliver a multi-tiered solution matching business capability needs to Information Technology (IT) investment in supplies and services; • ECM architecture that integrates the common and specific functional requirements of the Department's bureaus. ECM will employ state-of-the-art technologies to optimize cost efficiencies of common hardware and software platforms, to the maximum extent practicable, which will host the applications needed to meet the business specific needs of Treasury offices and bureaus. Where specific functionality requires interoperability with existing repositories, platforms will be rationalized so as to move from fragmented to a fully integrated environment; • A successful system implementation within a future state architecture that is agile and delivers significant capabilities to our business community sooner rather than later; • Avoidance of high-dollar, multi-year development efforts that show returns in the far out-years while adhering to rigorous systems development lifecycle processes; and • Conformance to standards, sharing of contract vehicles, blanket purchase agreements, or enterprise license agreements.

**a. Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

**9. Did the Agency's Executive/Investment Committee approve this request? \***

**a. If "yes," what was the date of this approval? \***

**10. Contact information of Program/Project Manager?**

- Name: \*

- Phone Number: \*
- Email: \*

**11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

**a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): \***

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

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## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
0188	CPFF: Cost Plus Fixed Fee	Y	2007-09-03	2007-09-03	2009-03-29	\$2.4	*	*	*	*	*
TBD	FFP: Firm Fixed Price	Y	2009-12-31	2010-01-28	2010-03-31	\$0.8	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

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3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a. If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Time between when a request is received by FinCEN ALD or RPPD Regulatory Analysis and a case is assigned, plus time between when case analysis is complete and the results are disseminated	Will first be measured in FY 2010	0% increase	
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent increase in IRS CI case Direct Investigative Time	Will first be measured in FY 2010	1% increase in Direct Investigative Time	
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent decrease in IRS CI case cycle time	Will first be measured in FY 2010	Percent decrease from the baseline TBD	
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent of OFAC headquarters licenses and interpretive submissions processed within 60 days	70%	72%	
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Reduction in average time spent per FOIA request for OFAC business analysts	409 days	Not yet set or approved	
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Time between when a request is received by FinCEN ALD or RPPD Regulatory Analysis and a	Will first be measured in FY 2010	1 - 2% Reduction in Time	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	International Financial Systems			case is assigned, plus time between when case analysis is complete and the results are disseminated			
2010	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent increase in IRS CI case Direct Investigative Time	Will first be measured in FY 2010	TBD% increase in Direct Investigative Time	
2011	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent decrease in IRS CI case cycle time	Will first be measured in FY 2010	Percent decrease from the baseline TBD	
2011	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent of OFAC headquarters licenses and interpretive submissions processed within 60 days	70%	75%	
2011	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Reduction in average time spent per FOIA request for OFAC business analysts	409 days	Not yet set or approved	
2011	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Time between when a request is received by FinCEN ALD or RPPD Regulatory Analysis and a case is assigned, plus time between when case analysis is complete and the results are disseminated	Will first be measured in FY 2010	3% Reduction in Time	
2011	Prevented Terrorism and	*	*	Percent increase in IRS	Will first be measured in FY	TBD% increase in Direct	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Promoted the Nation's Security Through Strengthened International Financial Systems			CI case Direct Investigative Time	2010	Investigative Time	
2012	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent decrease in IRS CI case cycle time	Will first be measured in FY 2010	Percent decrease from the baseline TBD	
2012	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent of OFAC headquarters licenses and interpretive submissions processed within 60 days	70%	77%	
2012	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Reduction in average time spent per FOIA request for OFAC business analysts	409 days	Not yet set or approved	
2012	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Time between when a request is received by FinCEN ALD or RPPD Regulatory Analysis and a case is assigned, plus time between when case analysis is complete and the results are disseminated	Will first be measured in FY 2010	5% Reduction in Time	
2012	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent increase in IRS CI case Direct Investigative Time	Will first be measured in FY 2010	TBD% increase in Direct Investigative Time	
2013	Prevented Terrorism and	*	*	Percent decrease in IRS	Will first be measured in FY	Percent decrease from	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	Promoted the Nation's Security Through Strengthened International Financial Systems			CI case cycle time	2010	the baseline TBD	
2013	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Percent of OFAC headquarters licenses and interpretive submissions processed within 60 days	70%	80%	
2013	Prevented Terrorism and Promoted the Nation's Security Through Strengthened International Financial Systems	*	*	Reduction in average time spent per FOIA request for OFAC business analysts	409 days	Not yet set or approved	



## Part II: Planning, Acquisition And Performance Information

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Project Initiation Phase – Completes planning and preparation for the Treasury ECM Program. Key products include the Program Charter, Program Management Plan, Program Master Schedule, Risk Management Plan, and Acquisition Plan.	\$0.5	\$1.0	2007-10-01	2007-10-01	2008-05-09	2009-03-18	100.00%	100.00%
Joint Domain Architecture Phase – Sets the business process, system requirements and architectural foundation for the program. Key products include the System Concept of Operations, Business System Requirements and Business System Architecture.	\$0.5	\$0.6	2007-10-01	2007-10-01	2008-12-18		100.00%	60.00%
Deploy ISDM IRS-CI DM Pilot / Production	\$15.0	\$2.1	2008-10-01	2008-10-01	2011-09-30		30.00%	50.00%
ECM Independent Assessment Services 2010	\$0.8	\$0.3	2009-12-31	2010-01-28	2010-03-31	2010-03-30	0.00%	100.00%
ECM System Integration Services	*	*	2010-03-31		2013-12-31		0.00%	0.00%
Operations and Support	*	*	2012-10-01		2015-09-30		0.00%	0.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Phase - system operates per standard operating procedures, system is maintenance per the configuration management process, and users are supported per the user support agreements								

\* - Indicates data is redacted.